

Entered on Docket

April 26, 2021

EDWARD J. EMMONS, CLERK
U.S. BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA



Signed and Filed: April 26, 2021

DENNIS MONTALI
U.S. Bankruptcy Judge

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UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

In re:

PG&E CORPORATION,

- and -

PACIFIC GAS AND ELECTRIC
COMPANY,

Debtors.

Affects PG&E Corporation
 Affects Pacific Gas and Electric Company
 Affects both Debtors

* *All papers shall be filed in the Lead Case,
No. 19-30088 (DM).*

Bankruptcy Case No. 19-30088 (DM)

Chapter 11

(Lead Case) (Jointly Administered)

ORDER DISALLOWING AND EXPUNGING
PROOFS OF CLAIM PURSUANT TO
REORGANIZED DEBTORS' FIRST
SECURITIES CLAIMS OMNIBUS
OBJECTION (NO LOSS CAUSATION –
SECURITIES SOLD PRIOR TO THE FIRST
PURPORTED "CORRECTED DISCLOSURE")

[Re: Docket Nos. 10411, 10571]

Upon the *Reorganized Debtors' Report on Responses to Reorganized Debtors' First Securities Claims Omnibus Objection (No Loss Causation – Securities Sold Prior to the First Purported "Corrected Disclosure") and Request for Order by Default as to Unopposed Objections* [Docket No. 10571] (the "**Request**") of PG&E Corporation ("**PG&E Corp.**") and Pacific Gas and Electric Company (the "**Utility**"), as debtors and reorganized debtors (collectively, "**PG&E**" or the "**Debtors**" or the "**Reorganized Debtors**") in the above-captioned chapter 11 cases (the "**Chapter 11 Cases**"), pursuant to Rule 9014-1(b)(4) of the Bankruptcy Local Rules for the United States District Court for the Northern District of California, as made applicable to these Chapter 11 Cases by the *Second Amended Order Implementing Certain Notice and Case Management Procedures*, entered on May 14, 2019 [Docket No. 1996] ("**Case Management Order**"), that the Court enter an order by default on the *Reorganized Debtors' First Securities Claims Omnibus Objection (No Loss Causation – Securities Sold Prior to the First Purported "Corrected Disclosure")* [Docket No. 10411] (the "**First Securities Claims Omnibus Objection**"), all as more fully set forth in the Request, and this Court having jurisdiction to consider the Request and the relief requested therein pursuant to 28 U.S.C. §§ 157 and 1334, the Order Referring Bankruptcy Cases and Proceedings to Bankruptcy Judges, General Order 24 (N.D. Cal.), and Bankruptcy Local Rule 5011-1(a); and consideration of the Request and the requested relief being a core proceeding pursuant to 28 U.S.C. § 157(b); and venue being proper before this Court pursuant to 28 U.S.C. §§ 1408 and 1409; and the Court having found and determined that notice of the Request as provided to the parties listed therein is reasonable and sufficient under the circumstances, and it appearing that no other or further notice need be provided; and this Court having determined that the legal and factual bases set forth in the Request establish just cause for the relief sought; and upon all of the proceedings had before this Court and after due deliberation and sufficient cause appearing therefor,

IT IS HEREBY ORDERED THAT:

1. The Equity Securities Claims listed in Exhibit A hereto are disallowed and expunged.
2. This Court shall retain jurisdiction to resolve any disputes or controversies arising from this Order.

*** END OF ORDER ***

Exhibit A

Weil, Gotshal & Manges LLP
767 Fifth Avenue
New York, NY 10153-0119

PG&E Corporation and Pacific Gas and Electric Company
 Case No. 19-30088 (DM), Jointly Administered
 The First Purported "Corrective Disclosure"

First Securities Claims Omnibus Objection
 (No Loss Causation – Securities Sold Prior To
 The First Purported "Corrective Disclosure")

| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|---|---|---------------------------------|------------------|------------|---------|-------------------------|-----------|--------------------|--|------------------|
| ¹ Case: 19-30088 | AFTRA RETIREMENT FUND C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 90969 | PG&E Corporation | 4/10/2020 | \$0.00 | \$0.00 | \$76.63 | \$76.63 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ² Doc# 10581 | ALMQVIST, BRUCE G 2817 RIFLE RIDGE ROAD OAKTON VA 22124 AMF AKTFEFOND GLOBAL C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 102986 | PG&E Corporation | 4/27/2020 | \$0.00 | \$0.00 | \$480.52 | \$480.52 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ³ | AMF AKTFEFOND NORDAMERIKA C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100993 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ⁴ Filed: 04/26/21 of 18 | AMF AKTFEFOND VARDEN C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100910 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ⁵ | AMF BALANSFOND C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100914 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ⁶ Entered: 04/26/21 of 18 | ANNE B. WALLACE IRA 30 OLD CLUB CT. NASHVILLE TN 37215 | 105114 | PG&E Corporation | 5/19/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ⁷ | ARONSON, FRANK 21 WOODCHESTER DRIVE CHESTNUT HILL MA 02467 | 98449 | PG&E Corporation | 4/3/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ⁸ | ARTHUR PETTY IRA 3598 N DEL LU DRIVE SPRINGFIELD MO 65802 | 98110 | PG&E Corporation | 3/26/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ⁹ | ASCHETTINO, MICHAEL 21 SMITH LANE EASTHAM MA 02642 | 98265 | PG&E Corporation | 3/30/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ¹⁰ | BARRY & KATHLEEN CARR TIES U/A DATED 1/13/2000 45 POSSUM LANE PORTOLA VALLEY CA 94028 | 100055 | PG&E Corporation | 4/13/2020 | \$0.00 | \$0.00 | \$770.18 | \$770.18 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ¹¹ | BIBB, JEFFREY V 555 POND APPLE RD CLARKSVILLE TN 37043 | 105648 | PG&E Corporation | 6/2/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ¹² | BRIAN C BERGER AND REGINA V BERGER TENANTS IN COMMON 923 E KILBOURN AVE UNIT 2601 MILWAUKEE WI 53202 | 103371 | PG&E Corporation | 4/28/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ¹³ | BROWN, CRAIG 5 WHISPERING WAY STOW MA 01775 | 98427 | PG&E Corporation | 4/3/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| ¹⁴ | ¹⁵ 14:44:57 | | | | | | | | | |
| ¹⁶ | ¹⁵ ¹⁶ \$0.00 seek an unliquidated amount | | | | | | | | | |

¹Claim listed as \$0.00 seek an unliquidated amount

First Securities Claims Omnibus Objection
(No Loss Causation – Securities Sold Prior To
The First Purported “Corrective Disclosure”)

PG&E Corporation and Pacific Gas and Electric Company
Case No. 19-30088 (DM), Jointly Administered

| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|----------|---|---------------------------------|-------------------------------------|------------|---------|-------------------------|------------|--------------------|--|------------------|
| 17 | CAREY, EDWARD F. 820 COBORN COURT SARTELL, MN 56377 | 105413 | PG&E Corporation | 5/22/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 18 | CARLSON, MARY JOHANNA 7141 HEATHERWOOD DRIVE RENO NV 89523 | 99232 | PG&E Corporation | 4/13/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19 | CENTRAL PENNSYLVANIA TEAMSTERS HEALTH AND WELFARE FUND PENSION FUND | 101603 | Pacific Gas and Electric Company | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 20 | CFS INTERNATIONAL INC. / DEFINED BENEFIT PENSION PLAN 6789 QUAIL HILL PKWY, SUITE 850 IRVINE, CA 92603 | 98074 | PG&E Corporation | 3/25/2020 | \$0.00 | \$0.00 | \$5,983.37 | \$5,983.37 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 21 | CHARLES W. SWAN AS TRUSTEE UNDER REVOCABLE DECLARATION OF TRUST DTD 5/14/93 701 RETREAT DRIVE APT. 120 NAPLES, FL 34110 | 103216 | PG&E Corporation | 4/24/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 22 | CIBC GLOBAL EQUITY FUND ASSET MANAGEMENT INC. 18 YORK STREET SUITE 1300 TORONTO, ON M5J 2T8 | 102445 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 23 | CITY OF CAPE CORAL MUNICIPAL GENERAL EMPLOYEES PENSION TRUST FUND C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR, PA 19087 | 99124 | PG&E Corporation | 4/10/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 24 | CITY OF MIAMI GENERAL EMPLOYEES & SANITATION EMPLOYEES' RETIREMENT TRUST 2901 BRIDGEPORT AVENUE COCONUT GROVE, FL 33133 CITY OF WINTER GARDEN PENSION PLAN FOR FIREFIGHTERS AND POLICE OFFICERS C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR, PA 19087 | 102859 | PG&E Corporation | 4/20/2020 | \$0.00 | \$0.00 | \$5,000.00 | \$5,000.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 25 | CLIFFER, ELLEN A 1930 SHERIDAN RD BUFFALO GROVE, IL 60089 | 99191 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 26 | CTC TRADING GROUP LLC, C/O CLAIMS COMPENSATION BUREAU LLC 1100 EAST HECTOR STREET SUITE 250 CONSHOHOCKEN, PA 19428 | 99974 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 27 | CUSHNIE, JAY R 2380 NE 127TH STREET REDMOND, WA 98053 | 101672 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$1,935.27 | \$1,935.27 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 28 | CUSHNIE, JAY R 2380 NE 127TH STREET REDMOND, WA 98053 | 103197 | PG&E Corporation | 4/24/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|----------|---|---------------------------------|----------------------------------|------------|---------|-------------------------|------------|--------------------|--|------------------|
| 32 | DADSON, MYRON E 16808 BLENHEIM DRIVE LUTZ FL 33549 | 103025 | PG&E Corporation | 4/20/2020 | \$0.00 | \$0.00 | \$465.31 | \$465.31 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 33 | DANSKE INVEST ALLOCATION SICAV STABLE C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 101012 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 34 | DAVID W SWIM TTEE DAVID W SWIM REVOCABLE TRUST DTD 07/22/2005 110 WASHINGTON AVE APT 2422 MIAMI BEACH FL 33139-7259 | 98811 | Pacific Gas and Electric Company | 4/9/2020 | \$0.00 | \$0.00 | \$1,521.53 | \$1,521.53 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Preferred Stock |
| 38 | DIRECTORS GUILD OF AMERICA-PRODUCER PENSION PLAN BASIC BENEFIT PLAN 5055 WILSHIRE BLVD, SUITE 600 LOS ANGELES, CA 90036 | 100922 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$1,646.21 | \$1,646.21 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 39 | DIRECTORS GUILD OF AMERICA-PRODUCER PENSION PLAN 5055 WILSHIRE BLVD, SUITE 600 LOS ANGELES CA 90036 DONOHUE, BRYAN AND MARIA SENWICKLEY PA 15143 | 100750 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$1,333.83 | \$1,333.83 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 40 | DRESCH, LARRY D. 4301 FIDDLESTICKS DR. LAFAYETTE IN 47909 | 103991 | PG&E Corporation | 5/10/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 41 | DRRT FBO CREDIT SUISSE FUNDS AG (3327) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 105235 | PG&E Corporation | 5/19/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 42 | DRRT FBO CREDIT SUISSE FUNDS AG (4418) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 101065 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 43 | DRRT FBO CREDIT SUISSE FUNDS AG (4422) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 101144 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 44 | DRRT FBO CREDIT SUISSE FUNDS AG (3723) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 101215 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 45 | DRRT FBO CREDIT SUISSE FUNDS AG (12182) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 101029 | PG&E Corporation | 4/9/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 46 | DRRT FBO DEKA INVESTMENT GMBH (12182) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 101160 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|----------|---|---------------------------------|------------------|------------|---------|-------------------------|-----------|--------------------|--|------------------|
| 50 | DRR TBO DEKA VERMOGENSMANAGEMENT GMBH (04100) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100183 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 51 | DRR TBO GERIFONDS SA 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 99183 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 52 | DRR TBO GERIFONDS SA 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 99234 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 53 | DRR TBO GERIFONDS SA 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 99265 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 54 | DRR TBO HANSAINVEST HANSEATISCHE INVESTMENT-GMBH 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100921 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 55 | DRR TBO HANSAINVEST HANSEATISCHE INVESTMENT-GMBH 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100847 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 56 | DRR TBO INTERNATIONALE KAPITALANLAGEGESELLSCHAFT MBH (EB530 QU) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 99561 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 57 | DRR TBO INTERNATIONALE KAPITALANLAGEGESELLSCHAFT MBH (FESTO AL) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100049 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 58 | DRR TBO INTERNATIONALE KAPITALANLAGEGESELLSCHAFT MBH (HAWL QUA) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100119 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 59 | DRR TBO INTERNATIONALE KAPITALANLAGEGESELLSCHAFT MBH (PMM KVSA) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100389 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 60 | DRR TBO LBBW ASSET MANAGEMENT INVESTMENTGESELLSCHAFT MBH (3009) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100510 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 61 | | | | | | | | | | |

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| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative | Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|---|--|---------------------------------|------------------|------------|---------|----------------|----------|-----------|--------------------|--|------------------|
| 19-30088 ⁶² Doc# 10581 Filed: 04/26/21 of 18 | DRRT FBO LBBW ASSET MANAGEMENT INVESTMENTGESELLSCHAFT MBH (3117) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100080 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO LRI INVEST S.A. SUITE 201 MIAMI FL 33130 | 101007 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO LRI INVEST S.A. SUITE 201 MIAMI FL 33130 | 100998 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO METZLER ASSET MANAGEMENT GMBH (XEE) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100879 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO ODDO BHF ASSET MANAGEMENT GMBH (3041) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 99669 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO ODDO BHF ASSET MANAGEMENT GMBH (FT-133) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 99660 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO SOCIETE GENERALE SECURITIES SERVICES GMBH (DE0290N000) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100168 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO SWISSCANTO FONDSLEITUNG AG 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 98999 | PG&E Corporation | 4/8/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO SWISSCANTO FONDSLEITUNG AG 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 98980 | PG&E Corporation | 4/8/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO UBS FUND MANAGEMENT (SWITZERLAND) AG (CH0077) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100078 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | DRRT FBO UBS FUND MANAGEMENT (SWITZERLAND) AG (CH0219) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100089 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

First Securities Claims Omnibus Objection
(No Loss Causation – Securities Sold Prior To
The First Purported “Corrective Disclosure”)

PG&E Corporation and Pacific Gas and Electric Company
Case No. 19-30088 (DM), Jointly Administered

| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|----------|---|---------------------------------|------------------|------------|---------|-------------------------|-----------|--------------------|--|------------------|
| 83 | DRR FBO UBS FUND MANAGEMENT LUXEMBOURG S.A. (LU6160) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100116 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 84 | DRR FBO UBS FUND MANAGEMENT LUXEMBOURG S.A.(LU9963_Q) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100320 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 85 | DRR FBO UBS FUND MANAGEMENT LUXEMBOURG S.A.(LU9963_V) 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 100488 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 86 | DRR FBO WARBUIG INVEST AG 340 WEST FLAGLER STREET SUITE 201 MIAMI FL 33130 | 99830 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 88 | ECL MSSB FBO ESPERANZA L. NAUSS TIEE 1770 LATOUR AVE. BRENTWOOD CA 94513 | 104918 | PG&E Corporation | 5/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 89 | EILEEN K. LIBERMAN (BENE) INHERITED IRA 10 OLD WOODS DRIVE HARLISON NY 10528-2420 | 105264 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 90 | ELAINE K. RODNIN IRA 7572 ISLAND BREEZE TERRACE BOYNTON BEACH FL 33437-5403 | 104820 | PG&E Corporation | 5/18/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 91 | ELAINE K. RODNIN REVOCABLE TST 7572 ISLAND BREEZE TERRACE BOYNTON BEACH FL 33437-5403 | 104827 | PG&E Corporation | 5/18/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 92 | EMPLOYEES' RETIREMENT FUND OF THE CITY OF FORT WORTH DBA FORT WORTH EMPLOYEES' RETIREMENT FUND ATTN: BENTIA FALLS HARPER, EXECUTIVE DIRECTOR 3801 HULEN STREET, SUITE 101 FORT WORTH TX 76107 | 99643 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 94 | ENGEL, JEROME 10521 SEABURY LN. LOS ANGELES CA 90077 | 105255 | PG&E Corporation | 5/19/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 95 | ESTATE OF GAIL H LEVINE CO M G TYDA, EXEC. 1031 VEY LANE FLATROCK NC 28731 | 102769 | PG&E Corporation | 4/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 96 | EURGEO PLUS SGR S.P.A. C/O BLEICHMAR FONTI & AULD LLP ATTN: BEA SETTLEMENTS 7 TIMES SQ 27TH FL NEW YORK NY 10036 | 100630 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 97 | FAGNANI, CELIA 413 58TH STREET ALTOONA PA 16602 | 99100 | PG&E Corporation | 4/8/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 98 | FAST, DONALD J 1019 TAOS RANCH CT RENO NV 89511 | 98494 | PG&E Corporation | 4/1/2020 | \$0.00 | \$0.00 | \$100.00 | \$100.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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First Securities Claims Omnibus Objection
(No Loss Causation – Securities Sold Prior To
The First Purported “Corrective Disclosure”)

PG&E Corporation and Pacific Gas and Electric Company
Case No. 19-30088 (DM), Jointly Administered

| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|----------|--|---------------------------------|-------------------------------------|------------|---------|-------------------------|-------------|--------------------|--|------------------|
| 19-30088 | FELS, NICHOLAS W. 4010 28TH PLACE NW WASHINGTON DC 20008 | 100256 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$1,485.55 | \$1,485.55 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 10581 | FERGENBAUM, TERRY 3167 CAVENTHIS DR. LOS ANGELES CA 90064 | 105256 | PG&E Corporation | 5/19/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 101 | FISCH, JACK 39201 SILVER BIRCH LN. PLAINVIEW NY 11803 | 100343 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$222.11 | \$222.11 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 102 | FISCHER, HARVEY 63-30 SAUNDERS ST. REGOPARK NY 11374-2031 | 98916 | PG&E Corporation | 4/8/2020 | \$0.00 | \$0.00 | \$957.17 | \$957.17 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 103 | FONDS DESJARDINS EQUILIBRE TACTIQUE 00910764-0/2 | 103100 | PG&E Corporation | 4/23/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 104 | FIDUCIE DESJARDINS INC ATTN: REORG MTL-1-34E-B 1 COMPLEXE DESJARDINS C.P. 34 SUCCURSALE DESJARDINS MONTREAL QC H2B 1E4 | 98645 | PG&E Corporation | 4/6/2020 | \$0.00 | \$0.00 | \$49.23 | \$49.23 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 105 | FREERICK TREADWAY REV LIV TR CORE VALUE EQUITY C.O. KESSLER TOPAZ MELTZER & CHECK, LLP RADNOR PA 19087 | 99532 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 106 | FRIEDMAN, RICHARD G 6685 NORMAN LANE SAN DIEGO CA 92120 | 104412 | PG&E Corporation | 5/11/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 107 | FRIEDMAN, RICHARD GARY SAN DIEGO CA 92120 | 103943 | PG&E Corporation | 5/7/2020 | \$0.00 | \$0.00 | \$13,353.70 | \$13,353.70 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 108 | GARY REIBMAN, TIEE 8711 E. PINNACLE PEAK RD SCOTTSDALE AZ 85255 | 105652 | Pacific Gas and Electric Company | 6/2/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 109 | GERALD & EILEEN LIEBERMAN 2014 CHARITABLE LEAD TRUST 5 SUTTON PLACE SOUTH LAWRENCE NY 11559-1727 | 105274 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 110 | GERALD M LIEBERMAN 2012 IRREVOCABLE TRUST 10 OLD WOODS DRIVE HARRISON NY 10528-2420 | 105271 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 111 | GERALD M. LIEBERMAN GRAT U/A DTID 02/14/2013 (MCFV 1/16) 10 OLD WOODS DRIVE | 105272 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 112 | GINELLI, JOHN 2578 AVALON AVE AVALON NJ 08202 | 99073 | PG&E Corporation | 4/11/2020 | \$0.00 | \$0.00 | \$285.75 | \$285.75 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 113 | GONZALES, RUBEN F 2210 E HARVARD CT VISALIA CA 93292 | 99422 | PG&E Corporation | 4/13/2020 | \$0.00 | \$0.00 | \$4,759.44 | \$4,759.44 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 114 | GURTNER, BRUCE 1071 COMWALL ST. CASPER WY 82609 | 98319 | PG&E Corporation | 3/30/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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First Securities Claims Omnibus Objection
(No Loss Causation – Securities Sold Prior To
The First Purported “Corrective Disclosure”)

PG&E Corporation and Pacific Gas and Electric Company
Case No. 19-30088 (DM), Jointly Administered

| Case No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative | Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|---------------------------------|---|---------------------------------|------------------|------------|---------|----------------|----------|--------------|--------------------|--|------------------|
| 17-1917 | GURTLER, BRUCE 1071 CORNWALL ST. CASPER WY 82609 | 98111 | PG&E Corporation | 3/25/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 18-2018 | H. PASKOWITZ & CO LLC DBPP 20 CHICHESTER ROAD MONROE TOWNSHIP NJ 08831-2650 | 105281 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 119-119 | HARMON, MARY B 2020 TARPON RD NAPLES FL 34102 | 99610 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$0.00 | \$1,700.91 | \$1,700.91 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| <hr/> | | | | | | | | | | | |
| HARTFORD REAL TOTAL RETURN FUND | | | | | | | | | | | |
| 20-10209 | ATTN: MIKE EGAN CLASS ACTION CLAIMS MANAGEMENT 11121 CARMEL COMMONS BLVD. SUITE 370 CHARLOTTE NC 28226-4561 | | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$1,069.84 | \$1,069.84 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| <hr/> | | | | | | | | | | | |
| HARTFORD REAL TOTAL RETURN FUND | | | | | | | | | | | |
| 21-101281 | CLASS ACTION CLAIMS MANAGEMENT ATTN: MIKE EGAN 11121 CARMEL COMMONS BLVD. SUITE 370 CHARLOTTE NC 28226-4561 | | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$1,069.84 | \$1,069.84 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| <hr/> | | | | | | | | | | | |
| 23-103939 | HENRY, DOROTHY 152 OAKMONT DR MOORESTOWN NJ 08057 | | PG&E Corporation | 5/6/2020 | \$0.00 | \$0.00 | \$0.00 | \$3,687.17 | \$3,687.17 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 23-106613 | ICARE- WCNI 5 HANOVER SQUARE SUITE 2300 NEW YORK NY 10044 | | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$300,000.00 | \$300,000.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| <hr/> | | | | | | | | | | | |
| 23-101502 | INVESTCOUS QUANTITATIVE CORE TRUST OF INSTITUTIONAL RETIREMENT TRUST ATTN: DEPARTMENT (INVESTCO GROWTH AND INCOME TRUST) 11 GREENWAY PLAZA, SUITE 1000 HOUSTON TX 77046 | | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| <hr/> | | | | | | | | | | | |
| 39-101796 | INVESTCO V COMESTOCK FUND OF AIM VARIABLE INSURANCE FUNDS (INVESTCO VARIABLE INSURANCE FUNDS) ATTN: LEGAL DEPARTMENT 11 GREENWAY PLAZA, SUITE 1000 HOUSTON TX 77046 | | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| <hr/> | | | | | | | | | | | |
| 41-102642 | INVESTINGSTORRENINGEN DANSE INVEST SELECT USA LOW VOLATILITY ACC KL C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 43-102659 | JAMES BACHINSKIRIA SOUTHERN MICHIGAN BANK & TRUST FBO JAMES BACHINSKIRIA 51 W. PEARL STREET PO BOX 309 COLDWATER MI 49036 | | PG&E Corporation | 4/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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| No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|-----|--|---------------------------------|----------------------------------|------------|---------|-------------------------|-----------|--------------------|--|------------------|
| 144 | JAMES F. BURKS ESTATE SANDRA BURKS EXE 2328 VILLAGER CT. LELAND NC 28451 | 98793 | PG&E Corporation | 4/6/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 45 | JOHN SCHULTZ IRA 1049 E. MCCLENNON SPRINGFIELD MO 653803 KATHERINE RANSOM MANNING IRREV. TRUST | 98089 | PG&E Corporation | 3/26/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 46 | KAREN R BERTRAM & ANNA M CASHMAN CO. TRTEES W/A DTID 7/24/1993 705 2ND AVE STE 800 SEATTLE WA 98104 | 101384 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 47 | KELLY, WILLIAM B. 4302 E MOUNTAIN VIEW RD PHOENIX AZ 85028 | 105482 | PG&E Corporation | 5/26/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 48 | KEMP, MARTIN 93 MAYFLOWER RD NEEDEHAM MA 02492 | 98466 | PG&E Corporation | 4/3/2020 | \$0.00 | \$0.00 | \$73.80 | \$73.80 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 49 | KEMP, SHARON 93 MAYFLOWER RD NEEDEHAM MA 02492 | 98426 | PG&E Corporation | 4/3/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 50 | KENNETH A. MAYER IRA ROLLOVER 40 CARRIAGE HOUSE ROAD BERNARDSVILLE NJ 07924-1203 INHERITANCE | 104816 | PG&E Corporation | 5/18/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 51 | KENNETH A. MAYER WAM 40 CARRIAGE HOUSE ROAD BERNARDSVILLE NJ 07924-1203 LAKE AVENUE FUNDING EC V LLC 231 SANSONE STREET, 4TH FLOOR SAN FRANCISCO CA 94104 | 104833 | PG&E Corporation | 5/18/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 52 | LARRY C FISHER FAM REV TRUST LARRY C & CYNTHIA FISHER TTEES U/A DTID 1/18/00 1100 BELMONT AVE SUITE 201 WYOMISSING PA 19610 | 105883 | PG&E Corporation | 6/23/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 54 | LEWIS, CRAIG 7 HUNT COURT JERICHO NY 11753 | 104841 | PG&E Corporation | 5/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 55 | LIEBERMAN 1999 IS SUE TRUST 5 SUTTON PLACE SOUTH LAWRENCE NY 11559-1727 | 105275 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 56 | LIEBERMAN 2013 FAMILY (99) TRUST 5 SUTTON PLACE SOUTH LAWRENCE NY 11559-1727 | 105273 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 57 | LUDMER, MIRIAM RACHEL 1704 ANDROS ISLE APT A COCONUT CREEK FL 33066 | 104460 | Pacific Gas and Electric Company | 5/11/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Preferred Stock |
| 60 | MATTHEWS, TRESA 5521 14TH ROAD N ARLINGTON VA 22205 MAYER FAMILY ASSOCIATES, LP 40 CARRIAGE HOUSE ROAD BERNARDSVILLE NJ 07924-1203 | 99287 | PG&E Corporation | 4/13/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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First Securities Claims Omnibus Objection
(No Loss Causation – Securities Sold Prior To
The First Purported “Corrective Disclosure”)

PG&E Corporation and Pacific Gas and Electric Company
Case No. 19-30088 (DM), Jointly Administered

| No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|-----|--|---------------------------------|------------------|------------|---------|-------------------------|------------|--------------------|--|------------------|
| 161 | MAYER, KENNETH A. AND SHIKHA L TEN COM 40 CARRIAGE HOUSE ROAD BERNARDSVILLE NJ 07924-1203 | 104829 | PG&E Corporation | 5/18/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 62 | MESSNER, DOUGLAS R. 3705 PROVENCE DR. ST. CHARLES IL 60175 METEDECONCS CAPITAL MANAGEMENT LLC | 105809 | PG&E Corporation | 6/18/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 63 | 231 SANSOME STREET, 4TH FLOOR SAN FRANCISCO CA 94104 | 104219 | PG&E Corporation | 5/8/2020 | \$0.00 | \$0.00 | \$360.00 | \$360.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 64 | METZ, MICHAEL S. 6210 SE 31ST AVE. PORTLAND OR 97102 | 100366 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$214.34 | \$214.34 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 65 | MICHAEL J. BRAND IRRA FBO MICHAEL J BRAND 2159 TRAILCREST DR ST LOUIS MO 63122 | 98934 | PG&E Corporation | 4/8/2020 | \$0.00 | \$0.00 | \$770.22 | \$770.22 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 66 | MICHELL, ROBERT 531 CHESTNUTT LANE EAST MEADOW NY 11554 | 97899 | PG&E Corporation | 3/19/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 67 | MORRISON, MIRIAM N 769 TEE BOX CT YORK SC 29745-7766 | 105240 | PG&E Corporation | 5/19/2020 | \$0.00 | \$0.00 | \$3,264.14 | \$3,264.14 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 68 | MULLIGAN-HAINES, MARY 17400 STATE HWY 86 SAFEGERTOWN PA 16433 | 103940 | PG&E Corporation | 4/21/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 69 | MURPHY CORE EQUITIES, LLC ATTN: SHERRY ARAMINI PO BOX 1139 WALACE NC 28466 | 99572 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$4,697.00 | \$4,697.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 70 | NEMCHAK, ROSEMARY HELEN 104540 1045 STATE ROAD HINCKLEY OH 44233 | 104540 | PG&E Corporation | 5/13/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 71 | NICHOLAS W. FELS AND SUSAN M. FELS ITWROS 4010 28TH PLACE NW WASHINGTON DC 20008 | 100253 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$803.58 | \$803.58 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 72 | NORDIA GENERATIONSFOND 50-1-TAL CO KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100285 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 73 | NORDIA GENERATIONSFOND 60-TAL CO KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100730 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 74 | NORDIA STRATEGIA 10 CO KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100978 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 75 | NORDIA STRATEGIA 10 CO KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100897 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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| No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|-----|--|---------------------------------|-------------------------------------|------------|---------|-------------------------|------------|--------------------|--|------------------|
| 176 | NORDEA STRATEGIA 100 C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100024 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 177 | NORDEA STRATEGIA 30 C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100027 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 178 | NORDEA STRATEGIA 50 C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100486 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 179 | NORDEA STRATEGIA 70 C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100681 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 180 | NOWELL, GREG 100 ADOBE CANYON RD. KENWOOD CA 95542-29045 | 100399 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$5,000.00 | \$5,000.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 181 | OTT, JUDITH 7566 WOODWIND CT BRIGHTON MI 48116 | 105657 | PG&E Corporation | 6/2/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 182 | PALIN, JR. DOUGLAS R 17311 NE 40TH ST VANCOUVER WA 98682 | 105302 | PG&E Corporation | 5/21/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 183 | PENSION BENEFIT GUARANTY CORPORATION ATTN: DAVID MUDD 1200 K ST NW WASHINGTON DC 20005 | 101068 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 184 | PETER A. GELWARG IRA WARRIOR NJ 07095-5604 | 104921 | PG&E Corporation | 5/18/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 185 | PHILIP G STARR TRUST 2512 FISK LANE REDONDO BEACH CA 90278 | 105469 | PG&E Corporation | 5/26/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 188 | PRINCIPAL FUNDS, INC. - GLOBAL OPPORTUNITIES FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101737 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 191 | PRINCIPAL FUNDS, INC. - GLOBAL OPPORTUNITIES EQUITY HEDGED FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101381 | Pacific Gas and Electric Company | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 196 | PRINCIPAL GLOBAL INVESTORS FUNDS - GLOBAL EQUITY (EX-JAPAN) FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101673 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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| No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|--------|---|---------------------------------|-------------------------------------|------------|---------|-------------------------|-----------|--------------------|--|------------------|
| 19-397 | PRINCIPAL GLOBAL INVESTORS FUNDS - GLOBAL EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101693 | Pacific Gas and Electric Company | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 98 | PRINCIPAL GLOBAL INVESTORS FUNDS - LONG/SHORT GLOBAL OPPORTUNITIES EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101711 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 99 | PRINCIPAL GLOBAL INVESTORS FUNDS - US EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101731 | Pacific Gas and Electric Company | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 100 | PRINCIPAL GLOBAL INVESTORS FUNDS - US EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101897 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 102 | PRINCIPAL GLOBAL OPPORTUNITIES EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101877 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 103 | PRINCIPAL GLOBAL OPPORTUNITIES SERIES PLC - OPORTUNIDADES GLOBAL EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101932 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 104 | PRINCIPAL GLOBAL OPPORTUNITIES SERIES PLC - OPORTUNIDADES GLOBAL EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101741 | Pacific Gas and Electric Company | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 107 | PRINCIPAL INTERNATIONAL EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 100991 | Pacific Gas and Electric Company | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 208 | PRINCIPAL INTERNATIONAL EQUITY FUND C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES IA 50322-0800 | 101567 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 209 | PROCTOR, SANDRA 3497 WALTON WAY SAN JOSE CA 95117 | 105431 | PG&E Corporation | 5/27/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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First Securities Claims Omnibus Objection
(No Loss Causation – Securities Sold Prior To
The First Purported “Corrective Disclosure”)

PG&E Corporation and Pacific Gas and Electric Company
Case No. 19-30088 (DM), Jointly Administered

| No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative | Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|-----|--|---------------------------------|------------------|------------|---------|----------------|--------------|--------------|--|---------------------|------------------|
| 10 | PRODUCER WRITERS GUILD OF AMERICA PENSION PLAN C/O CARRIE SHEPHERD 2900 W. ALAMEDA AVE., STE. 1100 BURBANK CA 91505 | 100348 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$243,134.63 | \$243,134.63 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 211 | QUINN, KYLE BATTEA, FBO 231 SANSONE STREET 4TH FLOOR SAN FRANCISCO CA 94104 REGIME RETRAITE DES EMPLOYES DE VILLE DE LAVAL 009115700.2 FIDUCIE DESJARDINS INC. ATTN: -REORG MTL-1-34E-B 1 COMPLEXE DESJARDINS C.P. 344 SUCCURSALE DESJARDINS MONTREAL QC H3B 1E4 REGIME RETRAITE TEAMSTERS LOCAL 199900908055/7.2 | 104226 | PG&E Corporation | 5/8/2020 | \$0.00 | \$0.00 | \$108.00 | \$108.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 112 | | 102795 | PG&E Corporation | 4/22/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Stock | |
| 13 | | 101284 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 14 | ROBERTS, HELEN WILLIAM KOCH SR. CPA 2650 WESTVIEW DR. STE #2/READING PA 19610 | 105740 | PG&E Corporation | 6/12/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 15 | ROBERTS, MERTON J WILLIAM KOCH, TTEE 2650 WESTVIEW DR. WYOMISSING PA 19610 | 105739 | PG&E Corporation | 6/12/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 16 | ROCKEY, ROSE 348 E AVENUE K8 SUITE B LANCASTER CA 93535 | 102706 | PG&E Corporation | 4/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 17 | ROSE ROCKEY BENEFICIARY OF IRA 606 N 13TH SABETHA KS 66534 | 102707 | PG&E Corporation | 4/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 18 | RURAL GOSPEL & MEDICAL MISSIONS OF INDIA GREENWICH CT 06830 | 106155 | PG&E Corporation | 7/6/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 19 | SADOVE, STEPHEN C/O UBS 100 FIELD POINT RD. NEW YORK NY 10022 | 100352 | PG&E Corporation | 4/15/2020 | \$0.00 | \$0.00 | \$19,696.86 | \$19,696.86 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 20 | SANDRA GOLBERG 2012 LIVING TRUST C/O DENISE KRANZ 200 EAST 57TH STREET APT 7A NEW YORK NY 10022 | 104300 | PG&E Corporation | 5/11/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 21 | SANDRA PASKOWITZ IRA 20 CHICHESTER ROAD MONROE TOWNSHIP NJ 08831-2650 | 105283 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |
| 22 | SCHULTZ, JOHN & VERA JANE 1049 E. MCCLERNON SPRINGFIELD MO 65803 | 98082 | PG&E Corporation | 3/26/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock | |

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| No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|--------|---|---------------------------------|------------------|------------|---------|-------------------------|------------|--------------------|--|------------------|
| 19-324 | SCULPTOR GLOBAL SPECIAL INVESTMENTS MASTER FUND, LP COLLEEN KILFOYLE 9 W 57TH STREET NEW YORK NY 10019 | 100693 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$223.40 | \$223.40 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-325 | SEELY, EUGENE A 5 SHERMAN ST WELLSBORO PA 16901-1831 | 97852 | PG&E Corporation | 3/19/2020 | \$0.00 | \$0.00 | \$2,623.27 | \$2,623.27 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-326 | SHABLA, MARK W 7760 THOMPSON NURSERY RD. WINTER HAVEN FL 33884 | 105276 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-327 | SHARON PAYNE GIFT TRUST DANIEL S. WEBER TRUSTEE SHARON PAYNE GIFT TRUST 10111 CAMINO DEL RIO SOUTH STE 210 SAN DIEGO CA 92108 | 101837 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-328 | SHIRLEY FRIEDMAN-KRUG REV TRST U/A DTD 05/16/2006 401 E. LINTON BLVD, APT. 562 DEL RAY BEACH FL 33483-5087 | 105279 | PG&E Corporation | 5/20/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-330 | SPILLER, LYNN N PO BOX 6875 INCINE VILLAGE, NY 89450 | 105662 | PG&E Corporation | 6/2/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-331 | SPP GLOBAL SOLUTIONS C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 100125 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-332 | STEIN, STEVEN R. PO BOX 7033 BEDMINSTER NJ 07921 | 98543 | PG&E Corporation | 4/7/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-333 | STOREBRAND GLOBAL SOLUTIONS C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 99603 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-334 | STREITESKY, TERRY L. 1852 BRICK AVE CASPER WY 82601 | 98302 | PG&E Corporation | 3/30/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-335 | SUZANNE C PAYNE AND DANIEL T PAYNE JT WIRDS 6840 N JEAN AVE CHICAGO IL 60646-1323 | 99638 | PG&E Corporation | 4/14/2020 | \$0.00 | \$0.00 | \$1,775.00 | \$1,775.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-336 | SWANSON, ROBERT 65 N 400 W LA PORTE IN 46350 | 105701 | PG&E Corporation | 6/8/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-337 | TEAMSTERS UNION NO. 142 PENSION FUND C/O KESSLER TOPAZ MELTZER & CHECK, LLP 280 KING OF PRUSSIA ROAD RADNOR PA 19087 | 99553 | PG&E Corporation | 4/13/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-338 | THE STATE GENERAL RESERVE FUND INSTITUTIONAL PROTECTION SERVICES THIRD FLOOR, 1-3 STAPLE INN LONDON WC1V QH | 102511 | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |

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First Securities Claims Omnibus Objection
(No Loss Causation – Securities Sold Prior To
The First Purported “Corrective Disclosure”)

PG&E Corporation and Pacific Gas and Electric Company
Case No. 19-30088 (DM), Jointly Administered

| No. | Original Creditor | Claim to be Disallowed/Expunged | Debtor | Date Filed | Secured | Administrative Priority | Unsecured | Total ¹ | Basis for Objection | Type of Security |
|--------|--|---------------------------------|--|-----------------------|------------------|-------------------------|---------------------|---------------------|--|------------------|
| 19-239 | THOMAS A HOSKIN TRUST THOMAS A HOSKIN TTEE 1405 CATALPA DR ROCHESTER HILLS MI 48307 TIAA-CREF FUNDS - TIAA-CREF GROWTH & INCOME FUND C/O TEACHERS ADVISORS LLC ATTN: KEITH ATKINSON 8500 ANDREW CARNEGIE BLVD. CHARLOTTE NC 28262 TIAA-CREF FUNDS - TIAA-CREF GROWTH & INCOME FUND C/O TEACHERS ADVISORS LLC ATTN: KEITH ATKINSON 8500 ANDREW CARNEGIE BLVD. CHARLOTTE NC 28262 TIAA-CREF LIFE FUNDS - TIAA-CREF LIFE GROWTH & INCOME FUND C/O TEACHERS ADVISORS LLC ATTN: KEITH ATKINSON 8500 ANDREW CARNEGIE BLVD. CHARLOTTE NC 28262 | 105619 | PG&E Corporation Pacific Gas and Electric Company | 6/1/2020 4/16/2020 | \$0.00 \$0.00 | \$0.00 \$0.00 | \$0.00 \$0.00 | \$0.00 \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-240 | 102030 | PG&E Corporation | Pacific Gas and Electric Company | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-241 | 102059 | PG&E Corporation | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-242 | 102060 | PG&E Corporation | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-243 | 100662 | PG&E Corporation | PG&E Corporation | 4/16/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-244 | 105209 | PG&E Corporation | PG&E Corporation | 5/18/2020 | \$0.00 | \$0.00 | \$937.46 | \$937.46 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-245 | 105479 | PG&E Corporation | PG&E Corporation | 5/26/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-246 | 90054 | PG&E Corporation | PG&E Corporation | 4/10/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-247 | 98891 | PG&E Corporation | PG&E Corporation | 4/9/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-248 | 105335 | PG&E Corporation | PG&E Corporation | 5/27/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| 19-249 | 99903 | PG&E Corporation | PG&E Corporation | 4/13/2020 | \$0.00 | \$0.00 | \$0.00 | \$0.00 | No Loss Causation – Securities Sold Prior to the First Purported Corrective Disclosure | Common Stock |
| | | | | | \$0.00 | \$0.00 | \$631,635.26 | \$631,635.26 | | |

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